

# Common Structural Features of Replication Origins in All Life Forms

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**Abstract** Origins of replication (ORIs) among prokaryotes, viruses, and multicellular organisms appear to possess simple tri-, tetra-, or higher dispersed repetitions of nucleotides, AT tracts, inverted repeats, one to four binding sites of an initiator protein, intrinsically curved DNA, DNase I-hypersensitive sites, a distinct pattern of DNA methylation, and binding sites for transcription factors. Eukaryotic ORIs are sequestered on the nuclear matrix; this attachment is supposed to facilitate execution of their activation/deactivation programs during development. Furthermore, ORIs fall into various classes with respect to their sequence complexity: those enriched in AT tracts, those with GA- and CT-rich tracts, a smaller class of GC-rich ORIs, and a major class composed of mixed motifs yet containing distinct AT and polypurine or GC stretches. Multimers of an initiator protein in prokaryotes and viruses that might have evolved into a multiprotein replication initiation complex in multicellular organisms bind to the core ORI, causing a structural distortion to the DNA which is transferred to the AT tract flanking the initiator protein site; single-stranded DNA-binding proteins then interact with the melted AT tract as well as with the DNA polymerase  $\alpha$ -primase complex in animal viruses and mammalian cells, causing initiation in DNA replication. ORIs in mammalian cells seem to colocalize with matrix-attached regions and are proposed to become DNase I-hypersensitive during their activation. © 1996 Wiley-Liss, Inc.

**Key words:** Replication origins, DNA repeats, initiator protein, DNA distortion, inverted repeat, enhancer, silencer, transcription factor, SV40 ORI, HSV-1 ORI nuclear matrix

Unlike *E. coli*, which uses a single start point to replicate its DNA, eukaryotic cells use multiple replication origins [Huberman and Riggs, 1968; Linskens and Huberman, 1990]. The existence of multiple replicons—chromosomal segments that are replicated from a single origin and whose size, number, and temporal order of replication is cell type- and developmental stage-specific [Edenberg and Huberman, 1975; Hand, 1978]—has prompted the idea of chromatin compartmentalization into domains.

Origins of replication specific for only a handful of genes have been identified in mammalian cells. They include those of the human *c-myc* gene [Iguchi-Arigo et al., 1988; Leffak and James, 1989; Arigo et al., 1989; Vassilev and Johnson, 1990], the human *HSP70* gene [Taira et al., 1994], the human choline acetyltransferase gene

(Boulikas et al., in preparation), the Chinese hamster *DHFR* gene [Anachkova and Hamlin, 1988; Burhans et al., 1990; Caddle et al., 1990b; Vassilev et al., 1990], ribosomal protein *S14* [Tasheva and Roufa, 1994a,b] and rhodopsin [Gale et al., 1992] genes, the mouse adenosine deaminase locus [Carroll et al., 1993; Virta-Pearlman et al., 1993], and the mouse enhancer region of immunoglobulin heavy chain gene [Arizumi et al., 1993; Iguchi-Arigo et al., 1993].

An understanding of the function and sequence characteristics of the origin of replication is of utmost importance to our knowledge of the mechanisms underlying the differential expression of genes. Indeed, the origin of replication seems to play an important role in gene activity, and active genes seem to possess an actively used origin, usually in their 5' flanking region, whereas inactive genes are thought to be replicated from an origin in their 3' flanking region [Seidman et al., 1979; Taylor, 1984]. Thus, replication of the transcriptionally active *c-myc* and histone H5 genes occurs from origins lying in the 5' flanking region, whereas these genes are replicated in the opposite direction from 3' flanking origins in cell types harboring

Abbreviations used: ARS, autonomously replicating sequence; BPV, bovine papilloma virus; DHFR, dihydrofolate reductase; EBV, Epstein-Barr virus; HSV, herpes simplex virus; MAR, matrix-attached region; OBP, origin-binding protein; OBR, origin of bidirectional replication; ORI, origin of replication; RF-A, replication factor A; SV40, simian virus 40.

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them into transcriptionally inactive conformations [Trempe et al., 1988; Leffak and James, 1989]. However, replication of the active *DHFR* locus in CHO cells occurs from a position in the 3' flanking region [Tasheva and Roufa, 1994b].

About 50,000 origins of replication may be present in each nucleus in mammalian cells during all developmental stages; a significant fraction of these might be used at early and fewer at later stages of development [Spradling and Orr-Weaver, 1987]. A fraction of ORIs, linked with housekeeping genes, might be active at all stages of development. Thus, a decrease in the number of active ORIs takes place during development [Callan, 1974]. Models have been proposed showing that different origins of replication are inactivated or activated during embryogenesis as committed cell types evolve; this process was thought to play an important role in the expression of developmentally controlled genes from a coupling between replicational control and transcriptional competence [Smithies, 1982; Goldman et al., 1984; Taylor, 1984; Villarreal, 1991; Boulikas, 1995c]. For example, new ORIs might be activated in the  $\beta$ -globin gene complex that were not used in early embryo or fetal stages; such events of ORI activation might be connected to the developmental activation of the  $\beta$ - and the inactivation of the  $\epsilon$ - and  $\gamma$ -globin genes [Boulikas, 1993b].

It has been established that active genes, found in decondensed chromatin structures, replicate during the early part of the S phase, whereas nontranscribed sequences confined into condensed chromatin structures, such as satellite DNA, replicate in late S [Remington and Flickinger, 1971; Balazs et al., 1974; Goldman et al., 1984; Taylor, 1984; Holmquist, 1987; Dhar et al., 1988]. Studies on the timing of replication of individual genes in gene clusters, such as the  $\alpha$ - and  $\beta$ -globin, the immunoglobulin  $\kappa$ , the T-cell receptor  $\beta$ -chain, and the *c-myc* loci, have shown that all genes in a cluster replicate together; an early-replicating gene was never detected within a late-replicating cluster [Hatton et al., 1988]. The timing of replication of the various genes during the S phase could play a role in gene expression through the selective binding of DNA sequence-specific transcription factors to genes [Gottesfeld and Bloomer, 1982].

Apparently, mechanisms for triggering origins of replication at different time intervals of S phase and developmental stages have been evolved; DNA replication foci sequester almost

all of the DNA methyltransferase activity [Leonhardt et al., 1992], and thus DNA methylation might be involved in differential activation of ORIs during S phase. Short segments in mammalian ORIs appear to become heavily methylated only in replicating but not in resting cells [Tasheva and Roufa, 1994b] (see level of DNA Methylation in ORIs). In addition phosphorylation of transcription and replication factors is a key regulator of the replication process [Boulikas, 1995a,b] and in regulating the triggering once and only once in each S phase of initiation from a single origin among thousands [Virshup, 1990; Huberman, 1991]. The dephosphorylated or phosphorylated initiator proteins would be inactivated and unable to initiate until the next cycle. In this model, distinct initiator proteins act upon different ORIs or groups of ORIs at S phase intervals.

In the present study we have examined most known origins of replication among all organisms for common structural/functional features; several rules diagnostic of origins of replication are deduced or proposed.

## RESULTS AND DISCUSSION

### Short Homo-Oligonucleotide Repeats in ORIs

The ORI sequences seem to possess an unusually high number of tri-, tetra-, and higher nucleotide repeats, more often dispersed rather than in tandem arrangements. For example, *oriC* of *E. coli* contains a number of GATTC, GATCC, AGATCT, and GATC motifs as well as their complementary sequences GAATC, GGATC, AGATCT, and GATC (shown underlined in Fig. 1). On pure probability considerations a tetranucleotide occurs once every 256 nucleotides; the GATC occurs 17 times in the 519 bp *E. coli* ORI, and it is thus overrepresented (8.4 times over random DNA); eight GATC motifs within a 245 bp sequence of *oriC*, representing the minimal origin of replication, are phylogenetically conserved [Meijer et al., 1979; Oka et al., 1980; reviewed by Zyskind and Smith, 1986]; this tetranucleotide is recognized by *dam* methyltransferase which methylates the adenine residue at the N<sup>6</sup> position to regulate initiation once and only once per cell cycle [Russell and Zinder, 1987; Boye and Løbner-Olesen, 1990].

A number of simple homo-oligonucleotides like CCC, GGG, TTT, and AAA are also overrepresented in *oriC* of *E. coli*. Such simple homo-oligonucleotide repetitions are found in most ORIs examined (see Figs 2-7); they might be

*E. coli oriC* (519 bp)

AGCCCCGGGCCGTGGATTCTACTCAACTTTGTCGGCTTGAGAAAGACCTAGGA  
 TCCTGGG**TATTA**AAAAAG**AGATCTATTTATT**AGAGATCTGTTCTATTGTG  
 ATCTCTATTAGGATCGCACTGCCCTGTGGATAACAAGGATCCGGC**TTTTAA**  
**GATCA**ACAACCT**GGAAAGGATC**ATTAAGTGAATGATCGGTGATCCTGGA  
 CCGTATAAGCT**GGGATCAGAATGAGGGG**TTATACACAACCTCAAAAACCTGA  
 ACAACAGTTGTTCTTTGGATAAactaccggT**GATCCAAGCTT**CCTGACAGAGT  
**TATCCACAGTAGATCGCACGATCTGTATACTTATTTGAGTAAATTA**ACCCA  
**CGATCC**CAGCCATTCTTCTGCCGGATCTTCCGGAATGTCGTGATCAAGAAT  
 GTT**GATc**TCAGTGTTCGCCTGTCTGTTTTGCACCGG**AAATTTT**GAGTCT  
 GCCTCGAGTTTATCGATAGCCCCACAAAAGGTGTCATATTCACGACTGCCA  
 ATAC

**Fig. 1.** *OriC* of *E. coli*. The single origin of replication of the *E. coli* genome is shown; the tetranucleotide GATC as well as the motifs AGATTC and its related AGATCC, AGATCT, and AGGATC and their complementary GAATCT, GGATCT, AGATCT, and GATCCT are shown underlined; also, simple GGG, TTT, CCC, and AAA repeats are present; binding of *dnaA* is essential for initiation of replication; the four *dnaA* sites TTAT(C/A)CA(C/A)A or its complementary T(G/T)TG(G/T)ATAA are double-

underlined; AT-rich tracts are boldface italics; the DNA unwinding element consisting of three repeats of the sequence GATCnTnTTTT which is thermodynamically unstable in supercoiled DNA and is very sensitive to the single-strand-specific mung bean nuclease is shown dot-underlined [Kowalski and Eddy, 1989]; some eminent inverted repeats are outlined with the loop in lowercase; GA-rich tracts are in boldface. From Meijer et al. [1979] and Fuller et al. [1984].

remnants of evolution or simply products of extensive errors during replication of origins.

In support of this rule, the yeast replication factor MCM1 recognizes among others the sequence GATATTTCCAATTTGGGAAATTC-CCAAATCAGTAAT [Kuo and Grayhack, 1994]; the putative replication initiator protein RIP-60 in mammals recognizes tandem repeats of ATT [Dailey et al., 1990]. Sequences containing similar homo-oligonucleotide repeats in ORIs may constitute recognition sites of similar or unrelated to MCM1 and RIP-60 replication factors.

#### Initiator Protein Sites in Core ORI

Origin cores usually possess two to four repeated sequences of 5–20 bp each that represent binding sites of an initiator protein; binding of this initiator protein would distort the DNA causing initiation by local unwinding that is propagated to nearby AT-rich sequences.

Large T of SV40 binds to the four GAGGC sequences (Fig. 2A) at the 5,232, 5,236, 5, and 11 positions of the 5,243 bp genome [DeLucia et al., 1983; Tegtmeyer et al., 1983; Jones and Tjian, 1984; Deb et al., 1986a]. These sites which are part of a palindrome (outlined) form two pairs, **GAGGCCGAGGC**, arranged in opposite orientation; GAGGC repeats in each pair are 6 nt apart and the two pairs 7 nt apart; these T antigen sites are immediately flanked by an AT-rich region of 17 nt on the 3' side (bold italicized in Fig. 2A) and by the early palindrome of 20 nt

at the 5' side [Deb et al., 1986a] (outlined in Fig. 2A). In the presence of ATP, 12 molecules of large T antigen are assembled in the form of two hexamers on the SV40 core ORI [Mastrangelo et al., 1989; Tsurimoto et al., 1989]. According to the model of Parsons and coworkers [1991], assembly of an hexamer first occurs on the early half core ORI and then on the late half; the formation of these hexamers melts the early and untwists the late half core ORIs; melting and untwisting release the large T antigen molecules from the GAGGC pentanucleotides to act as helicases at the flanking AT tract.

A single origin, *oriC*, is used by *E. coli* for the initiation of its replication. During rapid growth, an *E. coli* chromosome may acquire multiple copies of the chromosomal origin region. The timing of replication is controlled by the initiation-specific protein DnaA [Løbner-Olesen et al., 1989; reviewed by Georgopoulos, 1989] and the *dam* methyltransferase [Boye and Løbner-Olesen, 1990; Campbell and Kleckner, 1990]. About 30 monomers of *dnaA* protein in *E. coli* interact with *oriC*. This binding eventually causes structural alterations to the *oriC*; both DNase I cleavage and electron micrographs of the large *dnaA-oriC* complexes are consistent with wrapping of DNA around a large complex of *dnaA* molecules and melting of the DNA [Fuller et al., 1984; Bramhill and Kornberg, 1988].

## A. SV40 ORI (205 bp)

5,146G **TTTAAAACTTTATCCATCTTTGCAAAGcttTTTGCAAAGCCTAGGC**  
CTCCAAAAAGCCTCTCACTACTTCTGGAATAGCTCAGAGGCC  
GAGGcggcCTCGGCCTCTGCATAAATAAAAAAATTAGTCAG  
CCATGGGGCGGAGAATGGGCGGAACTGGGCGGAGTTAGGGGCGGGATGGG  
CGGAGTTAGGGCGGGACTAT<sub>107</sub>

## B. λ ORI (185 bp)

**GACCAAATAAAAA**CATCTCAGAATGTGCATCCCTCAAAA**ACGA**  
**GGGAAAATCCCTAAAACGAGGGATAAAACATCCCTCAAATT**  
**GGGGGATTGCTATCCCTCAAACAGGGGGACACAAAAGACA**  
**CTATTACAAAAGAAAAAGAAAAGATTATT**CGTCAGAGAATT  
 CTGGCGAATCCTCTGAC

C. Yeast *ARS1* (127 bp)

**AATTT**CGTCAAAAATGCTAAGAAATagg*ttattactgagtagT*  
ATTTATTAAGTATTGTTGTGCACTTGCCTGCAGGCCTTTT  
GaaaagcaagcaTAAAAGATCTAAACATAAAATCTGTAAAA  
 T

## D. EBV oriP core ORI (146 bp)

GATATCGCTGTT**CCTTAGGACCCTTTT**ACTAACCCTAATT**CGATAGCAT**  
ATGCTTCCCGttGGGTAACATATGCTATTGAATTAGGGTTAGTCTGGA  
TAGTATATACTACTACCCGGGAAGCATATGCTACCCGTTTAGGGTTAAC

## E. EBV replication enhancer; 30 bp repeat (repeated 20 times) (600 bp).

GGATAGCatatgCTACCCAGATATAGATTAGGATAGCatatgCTACCCA  
GATATAGATTA

**Fig. 2.** A: The origin of replication of SV40 genome showing the four binding sites of T antigen within core ORI. SV40 genome is 5,243 bp; T antigen sites I, II, and III are double-underlined; CG-rich tracts (Sp1 sites) are underlined; core ORI (nucleotides 5,210 to 5,243/0 to 31) is in larger font; the two GAGGC and its complementary GCCTC sites within T antigen site II are double-underlined; a 12/12 palindrome in T antigen site II with CAGAGGCCGAGG in the stem is outlined; the CGG in the loop is in lowercase; the central G in the loop of the 12/12 palindrome is nucleotide 5,243/0; structural distortions in site II are transferred to the 17 bp AT-rich region in core origin (bold-face italics), causing its melting. From Subramanian et al. [1977] and Hay and DePamphilis [1982]. B: The ORI of bacteriophage λ. The palindromic O protein binding sites are double-underlined. From Hobom et al. [1979]. C: Yeast *ARS1* (127 bp). The ABF1 site is boldface and dot-underlined; the site of an unknown protein factor is underlined; the site of interaction of the origin recognition complex (ORC) determined by genomic

footprinting is double-underlined; two inverted repeats are outlined. From Diffley and Cocker [1992] and Bell and Stillman [1992]. D: EBV oriP core ORI. The EBNA 1 sites are double-underlined; the 65 bp dyad symmetry containing three mismatches in core ORI is shown outlined with the three nucleotides in the loop in lowercase; the 960 bp of the unique sequence between the 30 bp repeats (enhancer) and the core ORI can be deleted without eliminating oriP activity. From Reisman et al. [1985], Frappier and O'Donnell [1992], and Hsieh et al. [1993]. E: EBV oriP replication enhancer. The replication enhancer is a 30 bp repeat repeated 20 times (600 bp); two repeats are shown; the 7 bp inverted repeat (one mismatch) is shown outlined with 4 nt in lowercase for the loop; evidently a cruciform of 18 nucleotides in each arm can be formed with two mismatches from two repeats and with the AGATATAGATTA central sequence in the loop; the EBNA1 sites are double-underlined. From Reisman et al. [1985], Hsieh et al. [1993], and Frappier and O'Donnell [1992].

Two  $\lambda$  proteins, O and P, are required for  $\lambda$  DNA replication; the O protein interacts with the core ORI causing structural distortion to the DNA double helix, best described by partial unwinding and wrapping of the DNA around an O protein complex [Dodson et al., 1986] (Fig. 2B). O protein recognizes, binds to, and bends the 19 bp sequence of hyphenated dyad symmetry [Zhan and Blattner, 1985]. P protein localizes the essential replication enzymes of *E. coli* at the *ori*  $\lambda$  site and by its simultaneous interaction with O protein and with DnaB generates a larger complex that includes additional DNA from the AT-rich region adjacent to the O binding site [Dodson et al., 1986].

Bell and Stillman [1992] described the purification from yeast cells of a six protein complex with molecular weights of 120, 72, 62, 56, 53, and 50 kDa; the origin recognition complex (ORC) binds with high sequence specificity to the *ARS1* yeast origin of replication that is AT-rich, causing local distortions of the double helix (Fig. 2C); this process requires ATP. The requirement of ATP for DNA binding of ORC, the DNase I cleavage pattern of DNA-ORC complexes compatible with wrapping of the 11 bp ARS and its 3' flanking region around the ORC complex [Bell and Stillman, 1992], and the structural distortion caused to the DNA give to ORC three characteristics of bacterial initiator proteins [reviewed by Newlon, 1993].

In vivo footprinting using Raji cells has revealed that a single protein, EBNA1 (Epstein-Barr virus nuclear antigen 1), interacts with four repeats at the genetically defined replication origin of EBV, *oriP*, that includes the physical origin of bidirectional replication, OBR, and directs the cellular replication apparatus to initiate replication; these four sites contain the consensus EBNA1 recognition sequence GATAGCATATGCTACC [Hsieh et al., 1993] (Fig. 2D). A second cluster of 20 EBNA1 sites within *oriP* known as 30 bp repeats serves as a replication enhancer (Fig. 2E) and is located 960 bp away from OBR. The 30 bp repeats act in a cooperative manner independent of distance and orientation to activate EBV replication [Wysocki and Yates, 1989] and also as a potent EBNA1-dependent transcriptional enhancer [Reisman et al., 1985; Sugden and Warren, 1989].

Interaction between EBNA1 molecules bound to the 30 bp repeats on one hand and to OBR on the other create a DNA loop, as observed by electron microscopy [Frappier and O'Donnell

1991b; Su et al., 1991]; this endows EBNA1 with properties characteristic of nuclear matrix proteins as, for example, SAF-A and RAP-1 [reviewed by Boulikas, 1995d]. This interaction might stabilize binding of EBNA1 to OBR, or it might attract the cellular replication machinery to OBR [Frappier and O'Donnell, 1991a]. Both the 30 bp repeats and the OBR sites are nucleosome free; EBNA1 was the only protein bound in these sites in vivo [Hsieh et al., 1993]. These studies are in agreement with in vitro studies showing that specific thymines in OBR but not in the 30 bp repeat are rendered sensitive to oxidation by permanganate as a result of distortion of the double helix caused by EBNA1 binding [Frappier and O'Donnell, 1992]. It can be speculated that the spacing of the four EBNA1 sites at OBR is such so as to cause more distortion to the double helix than its binding to the 30 bp repeats; pairs of the four EBNA1 sites at OBR are spaced at a center-to-center distance of 21 bp and the two pairs at a distance of 33 bp or of two and three helical turns, respectively [Hsieh et al., 1993] compared with the 1.5 helical turn spacing of EBNA1 sites at the 30 bp repeats.

UL9 initiator protein binds to three sites in the origin of HSV-1 *ori<sub>s</sub>* (see Fig. 3A) as shown by DNase I and MNase footprinting [Koff et al., 1991]. This binding is cooperative [Elias et al., 1990]. It is thought that interaction of UL9 with sites I and II of the core ORI causes looping of the AT-rich stretch in between (Fig. 3A) [Koff et al., 1991].  $\text{KMnO}_4$  and DMS reactivity show that UL9 causes distortion at the AT-rich region; DNA supercoiling was absolutely required for distortion [Koff et al., 1991]. It appears that UL9 binds to sites I and II and loops the AT-rich region regardless of the phasing of the UL9 binding sites as shown by insertion of AT stretches within the AT tract. However, different tertiary structures are expected in the region of the looped DNA; the altered tertiary structure carrying an  $(\text{AT})_3$  insert might contribute to loss of replication function [Koff et al., 1991]. Interaction of UL9 with sites III might be transient, allowing for interaction of UL9 molecules bound to site III with UL9 molecules bound to sites I and II [Koff et al., 1991]; however, deletion or mutation in site III reduces both UL9 binding to sites I and II and DNA replication severalfold [Weir et al., 1989; Elias et al., 1990].

**A. HSV-1 ORIs (104 bp)**  
 540 GGCCGCCGGGTAAAAAGAAGTGAGAACGCGAAGCGTTCGCACTTCGTC  
CCAATA***tatatata***TATTAGGGCGAAGTGCGAGCACTGGCGCCGGCC  
 CCGGGATCC

**B. Mouse rDNA ORI (muNTS 1) (421 bp)**

ATCATGAAGGCACATTGG***ATTTTAT***GACAGAGTCTGTGTGTGT  
 GTGTGTGT***ATAAATA***TTTCTGCTATGATTGCAGTTACTTGCCATC  
 TCGTGGGTTAGTTTGATTTCTGTAG***TTTTTAA******ATCTTTAA***  
***AATTTTATTTTATATTTTTTTAGTTT******AGTTT******AGTTT******AGTTT******AATTTA***  
***TTTTAGTTTTCAAGACAGGGTTTCTCTGTATAGCCCTGACTGTC***  
 CTGGAACTCACTTTGCAGACCAGGCTGGCCTCAAACCTCAGAAAT  
***CCTCCC***ATCTCTGCCT***GAAGAGAG***GCTGGGATTAAGACATGC  
 GCCATCACT***CCCGGC******TATTTTTAA******TTTTTAA******TTTATATTTAT***  
***TTAATTTATTTTTTTTGT******TTTTTTCAAGATGTGGTTTCTCTGT***  
 GTAAACTCTGGCTGACCGGAAC***CACTGTGTA***

**Fig. 3. A:** The origin of replication ORIs of HSV-1 showing the 18 bp AT-rich stretch between the binding sites of the initiator protein. HSV-1 (152 kb) contains one copy of ORI<sub>L</sub> and two copies of ORI<sub>S</sub>; ORI<sub>S</sub> includes a 55 bp palindromic region (outlined) including sites I and II of UL9, highly homologous to the 144 bp palindromic region of ORI<sub>L</sub>; the three sites (III, I, II sequentially from the 5' to 3' direction) of UL9, also known as OBP, defined by DNase I footprinting are double-underlined; GC tracts are underlined; AT tracts are in boldfaced italics; UL9 loops and distorts the ORI; the 11 bp site I to the left of the

AT-rich region is the high affinity site also occurring twice in ORI<sub>L</sub>; mutations in sites I, II, or III in ORI<sub>S</sub>-containing plasmid DNA significantly decreases replication efficiency in transient replication assays. From Stow and McMonagle [1983], Elias et al. [1990, 1992], Weir et al. [1989], Weir and Stow [1990], Koff et al. [1991], and Dabrowski et al. [1994]. **B:** Mouse rDNA ORI (muNTS1). Putative ORI of replication and amplification of mouse rDNA (44 kb) in mouse L fibroblasts; the HMG 1 footprints are double-underlined; GA and CT tracts are in bold; AT tracts are boldface italics. From Wegner et al. [1989].

### AT-Rich Stretches in ORIs

AT-rich regions of varying size are omnipresent components of origins of replication. AT-rich stretches might flank the core ORI, as, for example, in EBV ORI. Core ORI is defined as the short sequence (~50–100 nucleotides) where the initiator protein binds, causing local distortion in the double helix and initiating DNA unwinding. More often the AT-rich tract is included in the core ORI and may lie between two binding sites of the initiator protein, as is the case of the 18 bp AT stretch in the ORI<sub>S</sub> of HSV1 (Fig. 3A) [Elias et al., 1990]. AT-rich stretches are in bold italics in the figures of this work.

Five different functions can be assigned to AT-rich stretches.

First, the most conspicuous role of the AT-rich regions is that they facilitate DNA unwinding catalyzed by helicase molecules. A DNA unwinding element was described in the origin of *E. coli* as a GATCTnTTnTTTT element which is thermodynamically unstable, as evidenced by its sensitivity to the single-strand-specific mung

bean nuclease [Kowalski and Eddy, 1989]. AT tracts serve as the points for initiation of DNA unwinding caused by the binding of the initiator proteins to the AT-flanking region; single-stranded DNA-binding proteins (as, for example, RPA) then interact with melted AT tract and attract the DNA polymerase  $\alpha$ -primase complex.

Second, they might be involved in the attachment of the ORI to the nuclear matrix in eukaryotic cells where the majority of matrix-attached regions (MARs) appear to possess AT-rich tracts; a DNA unwinding matrix activity is found associated with rather short AT-rich motifs; indeed, the AATATATTT motif present within the MARs of both IgH gene and  $\beta$ -interferon genes becomes the nucleation site of a DNA unwinding effect under torsional strain that is propagated to neighboring sequences to include a 200 bp stretch of stably unpaired DNA [Dickinson et al., 1992].

Third, AT-rich stretches in ORIs might interact with HMG 1 and 2 as in the 50 bp AT-rich

stretches of the amplification origins located within the nontranscribed spacer of the murine rDNA [Wegner et al., 1989] (Fig. 3B); the rDNA repeats are 44 kb in size head-to-tail concatamers that probably resulted either from a rolling circle mode of replication of the initial rDNA plasmid or by multiplication and homologous recombination of already integrated plasmid DNA transfected into mouse L fibroblasts and integrated into the genome. According to this study, the AT-rich stretches located 4–5 kb upstream from the RNA polymerase I start site interact with the HMGI type of proteins, as revealed by footprinting, and function in ORI activation but not in transcription [Wegner et al., 1989].

Fourth, AT-rich stretches represent the binding sites of a special class of regulatory proteins; for example, yeast ARS elements include the (T/A)TTTA(T/C)(A/G)TTT(T/A) 11 bp sequence also found in ORIs in other species representing the binding site of the OBC protein complex (Fig. 2C) [Diffley and Cocker, 1992; Bell and Stillman, 1992]. It is proposed here that transcription factors may also function in replication. A recent compilation of DNA binding sites for transcription factors has revealed the presence of a class of proteins with an AT-rich sequence specificity [Boulikas, 1994a].

Fifth, although not the actual sites of binding of the initiator protein, AT tracts may be the principal site of local distortion of the double helix caused by the binding of the initiator protein to immediate flanking regions; this is the case for *E. coli oriC* [Bramhill and Kornberg, 1988; Kowalski and Eddy, 1989],  $\lambda$  bacteriophage ori [Schnos et al., 1988], SV40 ORI [Mastrangelo et al., 1989; Tsurimoto et al., 1989; Dean and Hurwitz, 1991], and yeast [Umek and Kowalski, 1988].

The AT-rich region might be part of a palindrome; this appears to be the case for the (TA)<sub>16</sub> element within the core origin of varicella-zoster virus (VZV) which forms the center of a potential cruciform structure of 46 bp as well as for the HSV AA(TA)<sub>5</sub>TTATTA element; as part of a palindrome, the AT tract may still represent the binding site of a transcription or replication factor.

#### Inverted Repeats in ORIs

Inverted repeats in ORIs have found their perfection in viruses: 144 bp with only two mismatches in HSV-1 *ori<sub>L</sub>* (shown outlined in Fig.

4A and throughout this work with the central loop of the palindrome in lower case). Only about seven nucleotides in the stem are thought to be able to maintain a cruciform, and special computer programs are available to determine inverted repeats and their free energy of formation on DNA. Inverted repeats convert into cruciform structures when DNA is torsionally strained; initiation of both transcription and replication generates torsional strain. The role of a special class of inverted repeat-binding proteins and their function in stabilizing DNA in its cruciform structure is just emerging [Pearson et al., 1994; Xie and Boulikas, in preparation].

Inverted repeats may represent protein binding sites in the origin of replication. For example, cooperative binding of the UL9 protein (also called HSV-2 OBP) to palindromic sequences in HSV-2 or HSV-1 *ori<sub>s</sub>* [Elias et al., 1990, 1992] causes looping of the AT tract located between them (Fig. 3A) [Koff et al., 1991]. The four binding sites of EBNA in *oriP* of EBV are short palindromic sites (Fig. 2D,E) [Frapier and O'Donnell, 1991a; Hsieh et al., 1993]. Similarly the binding sites of T antigen lie within an imperfect inverted repeat in SV40 ORI, shown outlined in Figure 2A [Deb et al., 1986b; Borowiec and Hurwitz, 1988; Parsons et al., 1990].

Inverted repeats are of two kinds: short (5–20 bp), usually representing the binding sites of initiator proteins, and long, best demonstrated by a 144 bp perfect inverted repeat in HSV-1 *ori<sub>L</sub>*; long palindromes are believed to convert into cruciform structures and to act like sinks of torsional strain, thus facilitating unwinding of the double helix at the core origin. The presence of inverted repeats and AT-rich regions among monkey origins of replication has been noted before [Landry and Zannis-Hadjopoulos, 1991].

Several lines of evidence suggest cruciform formation at the time of activation of an origin of replication. First, monoclonal antibodies, directed against cruciforms occurring in *ors* sequences supposed to represent monkey origins of replication from unknown genes were shown to enhance DNA replication in permeabilized monkey cells [Zannis-Hadjopoulos et al., 1988]. This was interpreted to be a consequence of stabilization of the inverted repeats into their cruciform configuration by the antibody; such cruciforms were thought to be located at or near replication origins, resulting in multiple initiations of DNA replication from a single origin. Using monoclonal antibodies directed against

A. HSV-1 *ori<sub>L</sub>* (426 bp)

1ACCACGGGGTGCCGATGAACCCCGGGCGGCTGGCAACGCGGGGTCCCTGC  
**GAGAGGCACAGATGCTTACGGTCAGGTGCTCCGGGCCGGGTGCGTCTGAT**  
 ATGCGGTTGGTATATGTACACTTACCTGGGGGCGTGCCGGACCGCCCCAG  
**CCCCTCCCACACCCCGCGCTCATCAGCCGGTGGGCGTGGCCGCTA**  
**TTATAAAAAAA.GTGAGAACGCGAAGCGTTTCGCACTTTGTCCTAATAA**  
**TAtataTATTATTAGGACAAAGTGCGAACGCTTCGCGTTCTCACTTTTT**  
 TTATAATA.GCGGCCACGCCACCGGCTACGTCACGTCCTGTCCGGCC  
 GCCGGCGGTCCATAAGCCCGGCCGGCCGGCCGGCCGACGCGAATAAACCGGG  
 CCGCCGGCCGGGGCGCCGCGCAGCAGCTCGCCGCCCGG<sup>426</sup>

B. *ori<sub>L</sub>* of pseudo-rabies virus (628 bp)

CGCCCCCTTTTTTCGCGGCCCCCGGGGAGCGGGGGTGGGGgcccC  
 AGCTCTCCCCCGAGCGCGGATCTCTGAAAAAAAATTTCC  
 CGCCCCCGCGTTTTTCCATTGGGGTGAATGGG@AGGGGGG  
**TGGGGGATGGGCACTACTAAGAATTGGCAAGGTGCCAAITTTATATTCTG**  
 GCATGGTGC<sup>AA</sup>ACTACATACTGGCACCCCTGCCAACCCCAATCCCCC  
 TCCCTACCTTGGCACCCCTGCCAACCCCAATCCCTCTCTACCTGGCAC  
 CCTGCCAACCCCAATCCCCCACCATCGTCGCGGTCTTCATCCTTGGCACA  
 CAGCCAACCCTAGAAGGCGAGCCGCGATGCTGCGGTGGGGCCCACCCCG  
 GGCAGGAGGTTGTCGATGGCGATGGGCGAGGGTGGGCGCGGTGTGGTGG  
 GGACGGTGTGGTGGCCGCGCGGTGGGGCGAGGGTTCGCCTTGGGTGCG  
 CTGGGGGGCGCCCTCGCCATTCAACCCCAATGGAAAACGCGGGG  
 GCGGGGAAATTTTTTTTTTCAGAGATCCGCGCTCGGGGGGA  
 GAGCTGGGGCCCCCGGTACGCGAGTTGTGGGGGGGGGGGCTCGGGG  
 GAGACGGGCCCCAGTCGAAGAGTTGTGGGGGCCCGGGG

**Fig. 4.** A: HSV-1 *ori<sub>L</sub>*. The perfect 144 bp palindrome is shown outlined with the tata loop in lowercase; the three UL9 sites are double-underlined; GC tracts of more than six nucleotides are underlined; CT tracts are in bold. The HSV-1 genome is 160 kb and contains three origins; two copies of *ORI<sub>S</sub>* and one copy of *ORI<sub>L</sub>*; *ORI<sub>L</sub>* is deleted during cloning in bacteria; its cloning was achieved using a yeast cloning vector; the 144 bp inverted repeat is similar to that of *ORI<sub>S</sub>*. From Gray and Kaerner [1984] and Weller et al. [1985]. B: *ori<sub>L</sub>* of pseudorabies virus (628 bp). A perfect palindrome starting with TGGCGG in the first line and having 84 nucleotides in the stem and a very large loop of 353

nt (a size that can be occupied by two nucleosomes with a 20 bp linker) is shown outlined and in larger case; a site similar to UL9 of HSV-1 is in bold, double-underlined, and in larger case; an NFI consensus is double-underlined; a 34 bp CT-rich direct repeat present three times is shown dot-underlined with the second repeat in italics; the minimal ORI function was delimited to a fragment comprising only one arm of the palindrome but not the other nor the NFI and UL9 consensus sites; pseudorabies virus has a linear DNA that circularizes after penetrating the nucleus of the infected cell. From Kupershmidt et al. [1991].

cruciform and quantitative fluorescence flow cytometry,  $3-5 \times 10^5$  cruciforms/nucleus were estimated for monkey CV-1 and human colon adenocarcinoma SW48 cells throughout S phase; however, no cruciform-like structures could be detected during G0 or G2M or in metaphase chromosomes [Ward et al., 1990, 1991]. Second, S1 nuclease sensitive sites appear as rodent cells move through G1 phase [Collins et al., 1982b]. The increase in the number of the single-stranded DNA-specific S1 nuclease cutting sites found during the S phase by Collins [1979] and Collins and coworkers [1982] support a model of a transient conversion of inverted repeats into

cruciform structures during initiation of DNA replication. Third, formation of the two inverted repeats within the origin in the R1162 plasmid was found to be essential for activation of replication [Lin and Meyer, 1987].

The abundance of inverted repeats in a class of MARs [Boulikas and Kong, 1993a,b] believed to represent the sites of DNA replication also suggests a role of cruciform in origin function.

## Intrinsically Curved DNA in Origins

A number of studies on origins of replication in viral and in other genomes sustain the idea that either the origin possesses intrinsically



curved DNA or that a severe bent is produced at the origin fragment as a result of its interaction with replication initiator proteins [Mukherjee et al., 1985; Zahn and Blattner, 1985; Koepsel and Khan, 1986; Deb et al., 1986b; Snyder et al., 1986; Schnos et al., 1988, 1989].

The fact that origins of replication coincide or colocalize with MARs and that MARs have been proven to possess intrinsically curved DNA from the retardation in mobility on agarose gels [see Boulikas, 1993a, and references therein] is one additional line of evidence for the presence of curved DNA in ORIs.

Many transcription factors are expected to prefer an intrinsically curved DNA binding site; this is in agreement with the view of the ORI/MAR elements as strong nucleation sites for transcription factors [Bidwell et al., 1993; Boulikas, 1994b] and as preferential sites of targeting by antineoplastic drugs [Boulikas, 1992b].

#### Classes of DNA Sequence Motifs in ORIs

Several classes of DNA sequence motifs have been found in ORIs:

1. The AT-rich class of motifs seems to constitute an omnipresent component of ORIs; AT-rich motifs (shown in boldfaced italics) are abundant in yeast ORIs (Fig. 5) and in the sequences flanking the heavily methylated 0.5 kb stretch of *DHFR* ORI (Fig. 6C).

2. A class of ORIs contains a large number of GA-rich tracts occasionally alternating with CT-rich tracts on the same strand of the DNA. Polypyrimidine or polypurine tracts (shown in boldface) are found in the  $\lambda$  ORI (Fig. 2B), in some stretches of the *RPS14* ORI (Fig. 6B), in the human *c-myc* ORI (Fig. 6A), and in the ORI of the human choline acetyltransferase gene (not shown) (Boulikas et al., in preparation).

3. GC-rich tracts (shown underlined), as in the origin of the SV40 (Fig. 2A), HSV-1 *ori<sub>s</sub>* (Fig. 3A), HSV-1 *ori<sub>L</sub>* (Fig. 4A), and *ori<sub>L</sub>* of pseudorabies virus (Fig. 4B).

4. The mixed motif is the major class of ORIs (Figs. 1–5A, 6, 7); however, mixed motif ORIs contain AT tracts and polypurines or GC-rich stretches.

#### Genomic Position of Origins

Most origins in multicellular organisms fall within upstream or downstream flanking regions of the gene they are linked with. For example, the ORI of the human *c-myc* gene (Fig.

6A) is at about  $-2$  kb from the transcription initiation site, the ORI of the Chinese hamster *DHFR* gene (Fig. 6C) is 17 kb to the 3' site, and that of the rDNA gene (Fig. 3B) is in the spacer region between two genes in the gene repeat.

However, as more studies are being completed, a more diverse picture with respect to the genomic position of the origins is emerging: the ORI of the S14 ribosomal protein gene in Chinese hamster cells comprises part of the coding and intronic regions (Fig. 6B) [Tacheva and Roufa, 1994a,b], and that of the human *HSP70* gene ( $-500$  to  $-150$ ) includes part of the promoter region [Taira et al., 1994]. The ORI of the human choline acetyltransferase gene includes the entire 5' untranslated region as well as upstream flanking regions (Boulikas et al., in preparation). Initiation of DNA replication within a transcribed region has been found by Wu and coworkers [1993].

#### DNase I-Hypersensitive Sites in ORIs

ORIs are proposed here to constitute important control elements, colocalizing with transcriptional enhancers, tightly linked with the transcriptional activity and the temporal manner of replication of genes during the S phase intervals. Initiator proteins distort the DNA; it is proposed that ORIs become DNase I-hypersensitive at the time of their activation as a result of binding of transcription and replication DNA sequence-specific protein factors, as a result of unwinding by the nuclease sensitivity of the single-stranded region, and as a result of absence of nucleosomes that might need to be removed from core ORIs as a prelude to their activation [Hsieh et al., 1993]. Indeed, core ORIs have a local region of their double helix distorted at the time of activation that is rendered hypersensitive to DNase I and to some chemicals that attack single-stranded over double-stranded DNA such as  $\text{KMnO}_4$  [Frappier and O'Donnell, 1992].

One additional reason to believe that core ORIs are into DNase I-hypersensitive conformations at the time of their activation emerges from studies in synchronized cells; it has been conjectured that cruciform structures appear at the origin only during their activation in S phase and that these structures disappear at all other stages of the cell cycle; the loop of the cruciform is into a DNase I-hypersensitive conformation [Ward et al., 1990, 1991].

### A. Yeast C2G1 ARS (522 bp)

1GAATTCTAGGTGATATTGCAATTACTTCTTCTCATGCACTAACAAGTGAATG  
 ATAGAAATATGTTGAGTTGCTAACTGCCTG**ATTTAAATAAGTTTCATATTAT**  
**AATCTTTTAGC****ATATATATATATATATATGATCCTCTCTCTTCTTTATTT**  
**CTGCCAGTAACCCATGTGTGAAGAAGAAAACATAAAATAAAAAAGCAGTA**  
 GCACATGGACACATTACGCCCGAACACTTC**TAAAAAGCAGCCACACAA**  
**GAAAGTAGATAAAT**GTAGGACACCCAGCTTGCC**ATAATT**GCTAATAGCA  
 TACTCAGG**ATAACATAATAAAT**GACGACTCGTTTGCTCCAACCTACTCGTC  
 CTCATTACAG**ATTATTAT**CCCTACCTCTCCAGAA**ACCCTTCAATATAAAA**  
**AGGGCAGATGTCCGCTGCGAACCCCTTCTCCATTTGGCAATTATTGAACA**  
 CCATCACTAAGTCCCTACAACAG**AATTACAAACATGCTTTCATTTCCAAG**  
**CAAAAGAAATCGAT**<sub>522</sub>

### B. Yeast ARS element pARS772 (1044 bp)

gATcgTAcg**AAATAAT**cgTATAAgc**AAA**gg**AAcc**ATcTTTAcgTTc**AAg**TgTTTT  
 TgcATTATTTgTcTTTc**ATTA**AcTATTAcATgTcTATc**AAc**Tg**TA**Ac**AAg**TTTc**AAA**  
**AA**gg**AAc**gAgTAgAcATTT**AAAT**ggTTAgccgTTATA**AAAT**Ac**AA**TAcTATTccT  
 Ag**TAAAA**TTTT**AA**ggTTTTTTTcAcgTATcAc**AA**cTATcgTTgTgcgTATTTTATT  
 TTcTTATTTTAgTg**AA**Tc**AA**ggTTgAcATTATAc**TAAA**ggTTTATTTATTTTgggc  
 ATTTgTTTgAgAcAcg**AA**TATTAATggcATAgccTTc**AAA**TTggTTcgTgg**AAc**ATg  
**AA**TgTc**AAA**TTTTTTAcTAgcATggc**AAA**gATTATAAgAcTgTTg**AA**TTATTTgTT  
 TAgTTATTA**AA**TgATTAcccTcccAgATTTTAccggTc**AA**TTc**AAc****AA**g**AAAA**TAT  
 ATTTATATgAgATTAgATTTTATAATTTATTTg**TAAAA**TgATATcgTTTcAgTT  
 gTTTTggTTTT**AA**TAAATTTATTTgTTTTATTTATTTTTTTTTTTTTAA**TTATA**  
**AT**ATTTTAc**AA**TccTTTTggTc**AAAc****AA**TAAAcAgATTTAcTAcTTAg**AAAA**c  
 ggc**ATAAAA**ggAc**AA**TAAATAATAg**AAc**TATATTc**AAAA**cTgc**AA**TTg**ATAAAA**g  
**TA**ATTTTTAg**AA**ATATA**AAAA**gTATTg**AAc**AgcAgTTTgTTTcgTAgAccTAcTc  
 ATTTAcTgT**AA**ATAggg**AA**gAc**AAc**AAATTTTTTTTTATgTgcTTTTT**AAAA**AT  
 TTT**AA**ATAcTAcg**AA**gccgATATATTA**AAAA**Ac**TAAAA**TTATcTAc**AAc**AA**TA**  
**Ac**Ac**AA**TAAcTcTTAgcTgTTc**AA**TAgAgTccg**AA**TTATTTcTgTAcATgTTT**AA**ggc  
 g**AA**Tc**AA**TTATTTAcATTTTcTgTc**AAAA**gATATc**AA**ATgTTggTgc**AA**TgTgTA  
 g**AA**gATgTgTTATTAATTTgATTTcTTTTTg**AA**TgATAATTTTcTT**AA**TTTTTATAAT  
 AgTTTATATgTg**AA**ATAc**AA**TTgATc

**Fig. 5.** A: Yeast C2G1 ARS. From chromosome III of *Saccharomyces cerevisiae*; ARS function can be provided by two copies of the ARS consensus (A/T)TTTA(A/G)TTT(A/T) (11 bp ARS core) shown double-underlined or one copy of the 11 bp ARS and additional sequences 3' to the T-rich strand of the core; AT tracts are in boldface italics; CT and GA tracts of more than six

nucleotides are in bold. From Palzkill and Newlon [1988]. B: Yeast ARS element pARS772. LOCUS SPARS772 PLN 14 MAY 1991. This ORI segment has 413 T (40%) and 365 A (35%) and a total of 75% AT and 25% GC. A is shown in bold and uppercase; T is in uppercase; G and C are in lowercase.

### Highly Conserved and Hypervariable Motifs in ORIs

Origins of replication are proposed to be made up of highly conserved elements representing protein binding sites, surrounded by hypervariable sequence elements displaying variability not only across species but even among individuals of the same species. For example, most of the hypervariability in the mitochondrial genome is found at the origin of replication [Chang et al., 1985]; however, mitochondrial origins contain the highly conserved D-loop region (Fig. 7).

Thus, origins may be composed of short evolutionarily conserved DNA sequences that either include the inverted repeats or other protein-binding sites surrounded by highly variable regions displaying little sequence similarity between species.

The presence of curved DNA at the origin and the interaction of transcription and replication factors with the origin that distort the flanking regions which are then prone to small reactive molecules may constitute one mechanism proposed to contribute to hypervariability in ORIs.

ORIs are enriched in homo-oligonucleotide repeats (see Short Homo-Oligonucleotide Repeats in ORIs above); we do not know at present whether these elements are conserved and how they might have been derived during evolution. The presence of simple homo-oligonucleotide repeats in ORIs and of short direct repeats representing the binding sites of the initiator proteins (see Initiator Protein Sites in Core ORI above) are expected to generate slippage structures, thus causing small duplications during replication. The enrichment of ORIs in inverted repeats is also expected to produce errors during replication.

The presence of hypervariable regions flanking highly conserved elements within ORIs is indirectly supported from experimental data: a 0.5 kb stretch composed of a 30 bp AT repeat in the 3' flanking region of the human apolipoprotein B gene is a MAR [reviewed by Boulikas, 1993a] and a hypervariable region [Boerwinkle et al., 1989]; although all MARs are not ORIs, it might be that an ORI includes or flanks this hypervariable region.

#### Level of DNA Methylation in ORIs

DNA methylation in *E. coli* determines origin activation. Only *E. coli* molecules with unmethylated A residues in GATC tetranucleotides within *oriC* replicate efficiently, whereas hemimethylated *oriC*, as is the newly replicated *oriC*, is not an efficient start point for DNA replication [Russell and Zinder, 1987; Boye and Løbner-Olesen, 1990]. Hemimethylated *oriC* is sequestered into the cell membrane, where it interacts with specific proteins [Hendrickson et al., 1982] and thus is unavailable for initiation [Ogden et al., 1988]. Experiments in which the cellular level of *dam* methyltransferase in *E. coli* cells was controlled by a temperature-inducible promoter have demonstrated the director's role of this enzyme in orchestrating the timing of initiation of DNA replication [Boye and Løbner-Olesen, 1990].

In line with experiments showing specific methylation in promoter, enhancer, and other regulatory regions as well as in coding regions of inactive but not of active genes in higher eukaryotes, one would expect DNA methylation at CpG to be higher in developmentally inactivated ORIs. A study on DNA methylation in CHO cells, however, using PCR and a genomic sequencing protocol able to pinpoint all 5-methylcytosines [Frommer et al., 1992] has shown that a defined 127 bp region within the ORI of the *RPS14*

locus (Fig. 6B) is densely methylated at almost all C residues, including CpG, CpA, CpT, and CpC dinucleotides, only during replication [Tasheva and Roufa, 1994b]. Similar data were found for a 516 bp region within the ORI 17 kb to the 3' side of the *DHFR* gene locus [Tasheva and Roufa, 1994b]. Such densely methylated islands could mediate the association of ORIs to the nuclear matrix, function in licensing particular ORIs to inactive states during execution of specific developmental programs, or be used by cells as signposts to mark previously replicated ORIs [Tasheva and Roufa, 1994b].

#### Developmentally Controlled ORIs May Be Enriched in ATTA and Related Homeodomain Protein Binding Sites

An enrichment of ATTA motifs representing recognition and binding sites of homeodomain proteins may be found in developmentally controlled ORIs (shown in larger case in Figs. 6C, 7A) [Boulikas, 1992a]. A statistical treatment of this idea should await the identification of ORI stretches among the sequences of the GenBank. ATTA motifs may be more abundant in the AT tracts of ORIs and might contribute to the execution of the developmental program of silencing (and less often of activation) of specific ORIs during cell type formation; indeed, homeodomain proteins execute the body plan in all organisms studied, and mutations in their genes give severe disruptions in body parts [Scott et al., 1989]. In support of this hypothesis, RIP-60, a candidate replication initiation protein, with binding sites within the ORIs of the *DHFR* locus [Dailey et al., 1990], rhodopsin locus [Gale et al., 1992], and murine adenosine deaminase locus [Virta-Pearlman et al., 1993], recognizes the ATTATTATTATT or a repeat of the (ATT)<sub>n</sub> motif.

#### One Class of ORIs May Be Enriched in Potential Left-Handed and Triple-Helix-Forming Stretches

Several polypurine or polypyrimidine stretches possessing a mirror symmetry may be identified in ORIs; such sequences can form triple-helical structures under special conditions of torsional strain, pH, or salt [Johnston, 1988]. For example, the core ORI of bacteriophage  $\lambda$  has the stretch **AAAACGAGGGATAAAAACATCCCT-caaaTTGGGGGATTgctaTCCCTCAAAACAGGGGGACACAAAA** with the mirror symmetry motifs shown in bold and the center of the symmetry outlined. This motif is immediately followed by **TATTACAAAAGAAA**AAGAA-

**AAGATTAT** (see Fig. 2B) which also possesses a mirror symmetry.

A polypurine repeat of 248 bp is present within the ORI of mouse *ADA* locus; it contains stretches of potential triplex and slippage structures such as in the segment  $A_5(GGAAA)_2-(GGAA)_9(AG)_4AAA(GGAA)_2GG(AG)_5AAAG-AAA(GGAA)_2GTAGA(GAAA)_2(GA)_3GGGAGA-(GGGA)_2G(GAAA)_2$  [Virta-Pearlman et al., 1993]. Evidently an extensive mirror symmetry motif is present in the 36 bp segment  $(GGAA)_9$  shown in bold.

The ORI of the mouse rDNA gene repeat contains the stretch TTTTTTAAAATTCTTTAAAATTTT-TATTTTATATTTTTTTAGTTTAGTTTAGTTT-AATTTA<sup>145bp</sup>TATTTTAAAATTTTAAATTA-TATTTATTTAATTTATTTTTTTTGTTTTTTT which includes two large footprints of HMG 1 separated by a nucleosome length of 145 bp (Fig. 3B). Mirror symmetries, inverted repeats, and slippage structures might be formed between or within these AT-rich sequences which can be brought together as the two linkers flanking the same nucleosome.

The mouse rDNA ORI contains the potential Z-DNA stretch  $(TG)_8(TA)_2$  (dot-underlined in Fig. 3B). The *RPS14* ORI includes a  $(CA)_7C$  motif (dot-underlined in Fig. 6B). Other more extensive potential Z-DNA elements may reside in the sequences flanking potential core ORIs [Boulikas, 1993b]. An examination of the 6.2 kb zone of replication of the amplified *DHFR* locus in CHO cells has indeed identified potential Z-DNA and triple helices that were also detected by their sensitivity to mung bean nuclease [Caddle et al., 1990b]. We are unable to perform a statistical treatment of Z-DNA stretches among ORI and non-ORI sequences because we cannot identify ORI sequences in the GenBank.

Z-DNA and triple-helical structures in origins are expected to be prone to mutagens and have

been proposed to contribute to the low degree of conservation of some stretches in ORIs flanking elements highly conserved during evolution [Boulikas, 1992b].

## RULES DIAGNOSTIC OF ORIS IN MULTICELLULAR ORGANISMS

Despite our incomplete knowledge of a statistically significant number of ORIs in multicellular organisms, we propose that most of the rules defined above are valid for origins in multicellular organisms. Two additional rules are deduced or proposed for ORIs in multicellular organisms.

### Coincidence of MARs and ORIs

ORIs are in close proximity to or fall within the nuclear matrix attachment sites of chromatin loops. Indeed a number of studies rather conclusively demonstrate that initiation of DNA replication takes place on the nuclear matrix [reviewed by Boulikas, 1996d]. In addition, elongation of new DNA proceeds by reeling of the old strands through the matrix where the replication forks are anchored.

Excitement arose from the demonstration that a *Drosophila* SAR (i.e., an AT-rich region between the H1 and H3 genes of the 5 kb histone gene repeat) can function as ARS elements in both budding and fission yeasts [Amati and Gasser, 1990]. However, subsequent studies using BrdU heavy labeling and PCR aimed at mapping the sites of initiation of DNA replication in the histone gene repeat have challenged these results and shown the presence of multiple sites of initiation not coinciding with the SAR region [Shinomiya and Ina, 1993].

In support of this rule are studies on identification of mammalian ORIs showing the presence of consensus SAR sites such as in the ORI

**Fig. 6. A:** Human *c-myc* ORI. Located about 2.5 kb upstream of transcription initiation site, this sequence is both a transcriptional enhancer and an ORI; the autoregulatory TCTCTTA motif, binding site of *c-Myc* protein, is double-underlined; the remaining sequence protected by *c-Myc* against DNase I is underlined. A footprint including the  $A_2T_9$  motif is double-underlined [Saigo et al., 1994]. The FUSE (far upstream element) protein binding site at -1,551 [Avigan et al., 1990] that might contribute to ORI function is also shown double-underlined. The sequence is from Ariga et al. [1989]. **B:** Part of the Chinese hamster ribosomal protein *S14* gene ORI. Includes the third and fourth introns of the gene, the exons IV plus V, and about 500 bp of downstream flanking regions; a 127 bp region (larger case) is densely methylated (in every CpG, CpC, CpT, CpA) only in

replicating cells. TG and GC motifs are underlined; GA- and CT-rich motifs are in boldface. From Tasheva and Roufa [1994a,b]. **C:** ORI of *DHFR* gene from Chinese hamster cells (position 2,431 to 3,550 of GenBank, accession number X52034, locus name CGDHFRORI). Region 2,807-3,322 (515 bp shown in uppercase) is heavily methylated only in replicating but not in resting cells. Transcription factor binding sites are double-underlined; these include, in the order of occurrence, RIP-60 (upstream RIP-60 site); TAACAGTAATAATAAATAT; Oct-1, ATTAGCAT; Oct-1, ATTTTCAT. ATTA and related motifs, putative core recognition sites of homeodomain proteins are in larger case. From Tasheva and Roufa [1994b], Caddle et al. [1990a], Held and Heintz [1992].

**A. Human c-myc ORI (210 bp)**

AAGCTTGTTTGGCCGTTTTAGGGTTTGTGG**AATTTTTTTTT**CGTCTATGTAC  
TTGT**GAATTTT**CACGTTTGCCATTACCGTTCTCCATAGGGTGATGTTTCAT  
TAGCAGTGGTGATAGG**TTAATTTT**CACATCTCTTATGCGGTTGAATAGTCAC  
CTCTGAACC**AATTTTT**CCTCCAGTAACTCCTCTTTCTTCCGGACCTTCTGC  
AG(HindIII/-2328).....  
(-1551 to -1527)CACAAAATAAAAAATCCCGAGGGAATATA(FUSE)

**B. Part of the Chinese hamster ribosomal protein S14 gene ORI (2kb)**

**AAGACATTGAGAGCGTTGATTTCTGTAATGCCCTTA**ACTG**TTATATTT**  
CCCATTTGCAATT**GGGAAGAC****TTAAAAA**CACTTGTGAAT**CTGTCCT**AGC  
AG**CCTTTTTCCCT**GCTAGCTAAATGTT**AAGGG**TATGCC**CTTGGG**ACAGT  
CCAAGTGAATAATTGCACACACCTGGCCTAAAGAGCAAACAGCCACAGGTG  
CTCAGTGTAGGGGCTCTGAGTTAGAACTT**GAAGGAGTCTTCCCCT**CTG  
ATGTTTGTGT**CCTTCTC**AGAGCCTGTGTTGTCTCGTCC**AATGTGGGTTG**  
**AGATGAGGAAATGACAGGTGAGGTGGGCCCTT**GTTTATTGACCACTGG  
TGATACATTT**GGGAAGAATAAAG**TCTGCTAGTTAGTCTAATGGCT**AGGGA**  
**GGCTGTGTTTATGTAGCTATGGGCAATGACATTTTTCTTCTTGCAGAGGA**  
TGTC**ACCCCATCCCCT**CTGACAG**CACCCGAAGGAAGGG**  
**TGGTCGTCGTGGTCCCGTCTGTGAACAGGACT**  
**TCTCAAATTTTCTGTTAATAAATTGCTTTGT**  
**ATAAGCTATTTTGGTTCTGATGTTTGTGTTTGA**  
**GGCAGGAT**CTCTCTCCATGTCTATGT**AGAAGAGTCTGGCCTCCA**  
ACTCAGATCCACATA**CCTGTCTCTGCCTCCA**AGTACT**GGGGATAAAGG**  
**AA**TGCACCACCACACCCCGGTGACCTTAGGGGCC**ACCCAGGGCAACACCA**  
AGGCAGTGT**TTGAGGGGA**TGTTAAGATTGCATGTAGGAGCTTCTAATGTAG  
GTTGGGGTTGGCAAACCTGGGTTTGCAGGCTGGCTTTCTGAGAATGGGA  
**ACCTGAGAGAGGAGATGCTGAGGCTGCCACTCTGGC****ATTAATTT**GCCAG  
CCAG**TATTTTCAAAGTGGA**ACTGTTAGTAGCCCATGCTGT**CATGGGACAG**  
CTAAACACCACACACACACACCCCA

**C. ORI of DHFR gene from Chinese hamster cells**

ctcggcctgtctgtg**taataattaaatgaaa**actttgaaatgttctgaaaccagctggtg  
tcagatagtcagagaaacttcgtaaggtagggtg**ggtta**tagcataat**ccacacacagag**  
ct**gaagcaggaggat**tttgtgtttgagggcagctagagcacatggtgagtc**ctgcctca**  
aaacac**aaaagcaagcaaaaacaag**ctccaaataagattcacttggc**ctttcttc**  
**cttctctc**agtgagtcactt**cttaaaat**caggcttaagagcgcacttagatctga**att**  
aacag**taataataaat**cttctctacagtacag**atta**tgctctataaacactgca**ct**  
gataaagttcagCCTTAACCTTTGTTCTG**TAAAT**GTTTCCTAGTTTTTCTACTG  
CCGT**ATTA**TAAGACAAATGTCAGCAT**GAAGGCAGGTTTTT**CAGAAAACAC  
AGCAGCTCACAGATGCCT**TAAT**ca**TAAT**c**ATTA**AAGCAGCTGCAACT  
TTTTCAACT**GGGAAAT**CATTCAAGGA**IGTTTTT**CTGAAG**TCCCT**ACCAGGG  
CACACGCACCT**GGGTTG**CTGTGTGACATCAGTTAGGTAGACTCTGA**ACTGGC**  
**TCCCCAAGCAAATTA**TAC**AAAAGCAAGG**TGTCACCTAGT**ATTAGCAT**  
AACTTCTGATACTACTGTCTTAGC**IGGGGTTTTCTATTG**CtGT**GAAGAGA**  
CACATGACCACAGAACTC**TTATAAAGGAAAGCA****ATTAATTG**GGTCCA  
GCTTACAGTT**CAGAGGTTTA**A**TCCATTG**T**CATGATTG**C**AGGAAGTA**  
TGGTGGCC**CACAGCGAGACATGGTGCTGGAGAAGTAGATGAGAGT**CTAT  
ATCAG**ATTGACACATTCTTCCA**ACAAGGCCACACTcatcacttgagctatggg**cc**  
attttcattcaaaccacaaagctacaaggtagcttataccagctgctattctgatgagact  
tag**taaa**tagt**cttaaaagcccataaaat**gactcaaaactag**ttttttattattat**  
**tag**ttcaaat**taggaagaagcttgctttacatgcaatccctctccctctccct**catcaaa  
actag**tttttgttttta**

Figure 6.

ORI<sub>H</sub> of human mtDNA (612 bp).

GATCACAGGTCTATCACCCCT**ATTA**ACCACTCACGGGAGCTCTCCATGCAT  
 TTGGTATTTTCGTCT**GGGGGG**TATGCACGCGATAGCATTGCGAGACGCTGG  
 AGCCGGAGCACCCCTATGTCGCAGTATCTGTCTTTGATTCTGCCTCATCC**T**  
**ATTA**TTTATCGCACCTACGTTCAAT**ATTA**CAGGCGAAC<sup>A</sup>TACTTACT  
 AAAGTGTGT**TAATTAATTAAT**GCTTGTAGGAC**ATAATAAT**AACA  
 ATTGAATGTCTGCACAGCCACTTT**CCACACAGACATCATAACAAAAAATTC**  
 CACCAA**ACCCCCCTCCCCG**GCTTCTGGCCACAGCACTTAAACACATCT  
 CTG**CCAAACCCCAAAAACA**AGAACCCCTAACACCAGCCTAACCAG**ATTCA**  
**AATTTATCTTTT**GGCGGTATGCACTTTTAACAGT**CACCCCCCACTAACA**  
**CATTA**TTTT**CCCCCTCCC**ACT**CCC**ATACTACT**TAAT**CTCATCAATACAA  
**CCCCCGCCCATCCTACCCAGCACACACACCCGCTGCTAACCCCATACCC**  
**CGAACCAACCAAAACCCCAAGACACCCCCACAG**TTTTATGTAGCTT**ACCT**  
**CCTCAAAGCAATACACTGA**

Fig. 7. ORI<sub>H</sub> of human mtDNA. D-loop is 1–191; OBR is at nucleotide 191 (outlined) ATTA motifs are in larger case. From Anderson et al. [1981] and Chang et al. [1985].

of the Chinese hamster rhodopsin locus [Gale et al., 1992].

#### ORIs Are Activated by Transcription Factors

Transcriptional enhancers were proposed to act as replication enhancers to increase initiation of replication from the core origin; replication enhancers are to be found at great distance from the core ORIs. Thus, the functional origin of replication in our model is composed of the core origin and one or more replication enhancers at variable distances from the core origin [Boulikas, 1996c].

Due to their higher complexity over viral, bacterial, mitochondrial, and yeast ORIs, ORIs in multicellular organisms are proposed to possess binding sites for a higher number of protein transcription/replication factors in addition to the binding sites for the replication-specific initiator protein. This level of complexity allows their programming during embryogenesis and their differential replication during S phase intervals and is tightly coupled to gene expression. The tight coupling between replication and transcription might arise from the fact that most replication factors interacting with the core ORI and enhancer are transcription factors as well.

A glimpse of the complexity of eukaryotic origins arises from studies on ARS activation in yeast. This unicellular low eukaryote does not have to cope with the complexity of multicellular organisms that need to develop a specific program of silencing specific sets of ORIs during embryogenesis; nevertheless, its ORIs appear to

be differentially activated during S-phase intervals [Ferguson et al., 1991]. The origin recognition complex (ORC) is a six protein complex that binds to the yeast ARS *in vitro*, causing a structural distortion at the double helix determined by DNase I and copper phenanthroline [Diffley and Cocker, 1992]; this process seems to be more complex when compared with the structural distortion at the core ORI caused by a single protein such as the O protein or the dnaA protein in *E. coli*, the large T antigen in SV40 and polyoma, the EBNA1 protein in EBV, and the origin binding protein (OBP) in HSV-1 (Initiation Protein Sites in Core ORI).

#### Transcription factors implicated in replication

*Animal viruses.* A single protein may simultaneously regulate two entirely different processes: transcription and replication. Single proteins such as large T antigen and AP-1 have strong binding sites in the origin region and stimulate both replication and transcription [e.g., Hay and DePamphilis, 1982; Hendrickson et al., 1987; Martin et al., 1988; Murakami et al., 1991]. Sp1 stimulates SV40 DNA replication [Guo and DePamphilis, 1992]. The transcription factor NF-I (also called CTF, CCAAT box-binding protein, or C/EBP) stimulates replication of adenovirus DNA *in vitro* [Prujin et al., 1986; Jones et al., 1987; Santoro et al., 1988; Coenjaerts et al., 1991] by establishing cooperative interactions with DBP (DNA-binding protein) of adenovirus [Cleat and Hay, 1989]. NF-I also stimulates replication in SV40 [Cheng and Kelly, 1989]; the

CCAAT element recognized by NF-I is an important promoter element for a significant number of eukaryotic genes [reviewed by Boulikas, 1994a]. The transcription factor Oct-1 (also called OTF-1 or NFIII) involved in the regulation of the histone H2B and immunoglobulin genes can stimulate initiation of adenovirus DNA replication in vitro [O'Neill et al., 1988; Mul et al., 1990; Verrijzer et al., 1990; Coenjaerts et al., 1991; reviewed by Challberg and Kelly, 1989]. The constellation of transcription factors implicated in the control of DNA replication may also include p53, a sequence-specific DNA-binding factor with a GC-rich sequence preference that might interact with the Sp1 site of SV40 ORI [Boulikas, 1994a]. The DNA sequence-specific activity RIP-60 might be a candidate replication initiator protein that binds to the *DHFR* replication origin [Caddle et al., 1990a; Dailey et al., 1990].

*Yeast.* The transcriptional activator GAL4 from yeast was capable of stimulating polyoma virus DNA replication; synergistic activation of DNA replication was achieved by multimerization of the GAL4 binding site [Bennett-Cook and Hassell, 1991; Baru et al., 1991]. The yeast protein ABF1 may contribute either to repression or activation of transcription, and its binding to several ARS elements may stimulate initiation of replication [Buchman et al., 1988; Diffley and Stillman, 1988]. The yeast protein MCM1 is a transcription activator that also affects DNA replication [Passmore et al., 1988; Christ and Tye, 1991]. Another cellular DNA binding protein that displays the property of regulating the initiation not only of transcription but also of replication is the RAP1/GRF-I factor in yeast [Brand et al., 1987; Kimmerly et al., 1988]. The origin recognition complex in yeast functions both in ORI firing and in transcription repression [Bell et al., 1993].

#### A Close-Up of Mammalian ORIs

One of the functions of c-Myc protein is to promote cellular DNA replication by binding to a cloned human putative ORI sequence [Iguchi-Arigo et al., 1987a]. c-Myc can even substitute for SV40 large T antigen in an in vitro SV40 replication system [Iguchi-Arigo et al., 1987b; Classon et al., 1987]. A region located approximately 2 kb upstream of the transcription start site of the human *c-myc* gene contains a putative ORI of 210 bp (Fig. 6A) which is also a transcriptional enhancer containing c-Myc binding sites

[Iguchi-Arigo et al., 1988]. This fragment contains the TCTCTTATGCCGGTTGAATAGT Myc-binding site determined by DNase I footprinting and mobility shift assays; this interaction is involved in both upregulating transcription as well as replication of the *c-myc* gene domain [Arigo et al., 1989].

It is not clear at present whether or not c-Myc plays the role of the initiator protein; the fact the c-Myc protein can substitute for SV40 large T antigen in an SV40 DNA replication system in vitro [Iguchi-Arigo et al., 1987b] suggests that c-Myc is an initiator protein causing firing the origin of replication of the *c-myc* gene probably in concerted interaction with other transcription factors. The presence of three shift bands in mobility retardation assays [Arigo et al., 1989] suggest that c-Myc is cross-complexed with other transcription factors on this genomic DNA fragment. An activity was shown with DNase I footprinting to protect a region that includes the AATTTTTTTTTT element of *c-myc* ORI [Saigo et al., 1994].

The 210 bp origin of the human *c-myc* oncogene (Fig. 6A) has the ability to act as an autonomously replicating sequence [Iguchi-Arigo et al., 1987a]. It contains three ATTA motifs; one ATTA motif is expected every  $4^4$  or 256 nucleotides by pure probability. The *c-myc* ORI contains a stretch of  $A_2T_9$ , a stretch of  $A_2T_2AT_3$ , a stretch of  $T_2A_2T_4$ , and a stretch of  $A_2T_5$ ; the last two of these are in the almost immediate region flanking the c-Myc binding site (Fig. 6A). This fragment plays the role of a transcriptional enhancer for the expression of the *c-myc* gene [Iguchi-Arigo et al., 1988; Arigo et al., 1989].

DNA sequences enriched in origins of replication termed *ors* have been isolated by extrusion of single-stranded newly synthesized DNA at the replication fork from actively replicating monkey cells in culture [Zannis-Hadjopoulos et al., 1985]. pBR322 plasmid harboring several cloned *ors* sequences has been shown to be autonomously and extrachromosomally replicating after transfection into HeLa cells [Frappier and Zannis-Hadjopoulos, 1987; Rao et al., 1990; Landry and Zannis-Hadjopoulos, 1991]. These studies provide evidence that short sequences in the mammalian genome might represent core origins of replication.

The murine adenosine deaminase ORI (3,993 bp) contains a 377 bp polypurine stretch and binding sites for several transcription factors including the putative initiators PUR and RIP-60

and EBNA1 as well as Oct-1, C/EBP, and p53 implicated in stimulating DNA replication [Virta-Pearlman et al., 1993]. The DNA sequence-specific activity RIP-60 was inferred to be a candidate replication initiator protein that binds to the *DHFR* replication origin [Caddle et al., 1990a; Dailey et al., 1990]; RIP-60 copurifies with an ATP-dependent helicase and might aid the interaction of dispersed elements by DNA bending.

### CONCLUSIONS

Thus, it seems that among all terrestrial life forms best studied in bacteria, bacteriophages, yeasts, and animal viruses, the activation of the origin of replication shares common fundamental characteristics. First, an initiator protein, such as *dnaA* in *E. coli*, O protein in  $\lambda$  bacteriophage, T antigen in SV40 and polyoma, and EBNA1 in Epstein-Barr virus, directs the formation of a large complex containing several initiator protein molecules. Second, the initiator protein complex causes local distortion at a small region of the core ORI and attracts other proteins of the DNA replication apparatus such as helicases and single-strand DNA-binding proteins. Third, an AT-rich DNA sequence of about 10–30 bp found in all core origins and flanking the initiator protein site melts. Fourth, the primary replication bubble at the AT-rich region is extended by helicases. This might turn out to be an universal mechanism exploited by higher eukaryotes as well for origin activation.

Evidently, knowledge of a large number of origins of replication could be used to control proliferation in cancer cells by oligonucleotide or other targeting methods.

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